

State of Rhode Island and Providence Plantations

Budget



Fiscal Year 2013

Volume 1 – General Government, Quasi-Public
Agencies and Component Units

Lincoln D. Chafee, Governor

Agency

Executive Department

Agency Mission

To fulfill all responsibilities and duties in accordance with the Constitution and Laws of the State of Rhode Island. To monitor all federal legislation and the federal budget for impact upon Rhode Island. To provide oversight on the expenditure of and reporting on American Recovery and Reinvestment Act (ARRA) funds, and initiate the new Health Benefit Exchange as part of the federal Patient Protection and Affordable Care Act.

To process legislative and regulatory opportunities for the State of Rhode Island.

To obtain maximum federal funding for the State of Rhode Island.

To initiate reform and change in both government and the economy for the betterment of all Rhode Island Citizens.

Agency Description

The Office of the Governor is established under the Rhode Island Constitution as one of the general offices and is subject to election by the voters of the State. The Office of the Governor is responsible for the enactment of reform and change in state government for the betterment of the citizens of the State of Rhode Island. In accomplishing this task, the Office of the Governor coordinates the services of a staff of professionals to include the Executive Counsel Office, Business Administrative Services, Policy Office, Legislative Affairs Office, Media Office, Constituent Affairs Office, and Community Relations Office. Additionally, the Office of Economic Recovery and Reinvestment (OERR) was established in FY 2010 to ensure compliance with the provisions of the American Recovery and Reinvestment Act (ARRA), including establishing processes for identifying, evaluating, and tracking ARRA initiatives. The OERR office is also responsible for coordinating state agency reporting on use of federal ARRA funds. Although ARRA funding will be in use in FY 2013 and possible FY 2014, the OERR's functions are recommended to become part of the Office of Management and Budget in the Department of Administration beginning in FY 2013. The Rhode Island Health Benefits Exchange is expected to establish consistency of health care provision throughout the State, and will avoid federal intervention in setting up the Exchange, which states must do before January 1, 2013. The Exchange is established in the Governor's Office as the centrally accountable office for operational and financial implementation, including policy development. The Exchange Director will report to the Board of the Rhode Island Health Benefits Exchange, and will work with other state departments, including the Lt. Governor, the Executive Office of Health and Human Services, and the Health Insurance Commissioner, among others

Statutory History

The Governor is the head of the Executive Branch of State government. Among the powers and duties of the Governor under the State Constitution are: faithfully executing the laws (Art IX, Section 2); commanding the state's military and naval forces (Article IX, Section 3); granting reprieves (Articles IX, Section 2); and pardons (Article IX, Section 13); convening special sessions of the general assembly (Article IX, Section 7); and; preparing and presenting to the general assembly an annual state budget (Article IX, Section 15). Each Administration creates its own Office of the Governor for the purpose of governing the State of Rhode Island. The Federal Office of Management and Budget memorandum 09-18 determines the programmatic responsibilities of the OERR. The Governor signed Executive Order 11-09 in September, 2011 establishing the Health Benefits Exchange within the Office of the Governor in response to the federal Affordable Care Act 1311 (d)(6).

The administration of Governor Lincoln D. Chafee began on January 4, 2011.

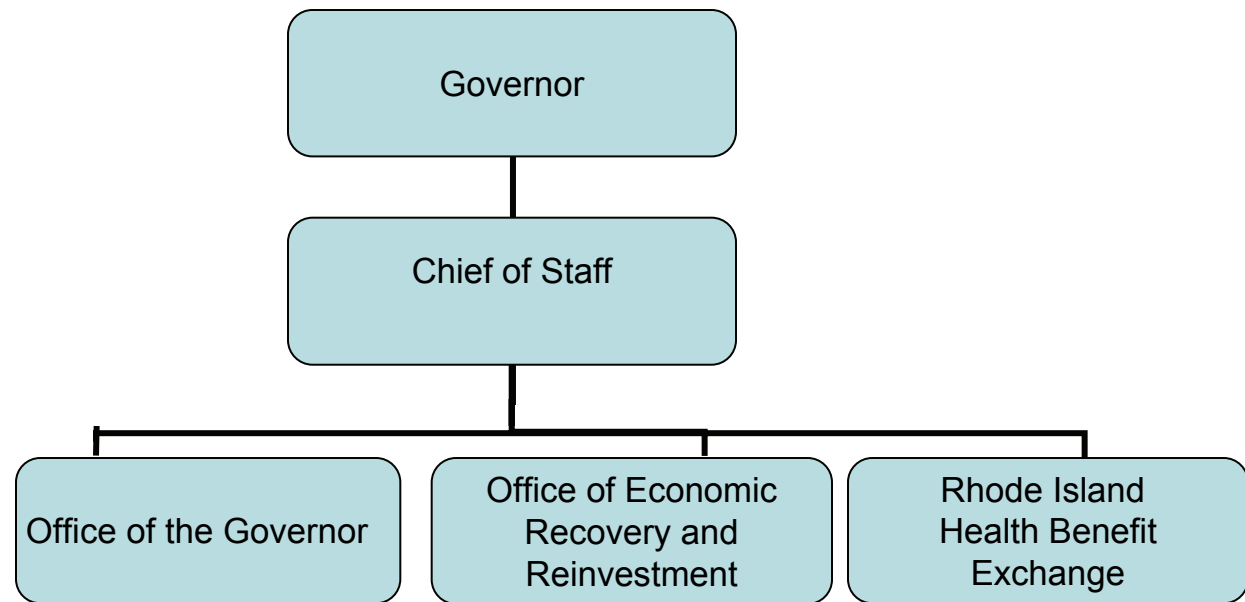
Budget

Executive Department

	FY 2010 Audited	FY 2011 Audited	FY 2012 Enacted	FY 2012 Revised	FY 2013 Recommend
Expenditures By Program					
Central Management	4,344,370	4,102,488	4,338,521	4,397,882	4,418,290
Office of Economic Recovery and Reinvestment	975,903	599,379	917,574	714,266	-
Rhode Island Health Benefits Exchange	-	-	-	109,768	1,097,141
Total Expenditures	\$5,320,273	\$4,701,867	\$5,256,095	\$5,221,916	\$5,515,431
Expenditures By Object					
Personnel	4,919,845	4,393,205	4,625,357	4,526,290	4,914,752
Operating Supplies and Expenses	297,828	275,803	295,522	289,001	313,111
Assistance and Grants	28,000	1,370	250,000	250,000	250,000
Subtotal: Operating Expenditures	5,245,673	4,670,378	5,170,879	5,065,291	5,477,863
Capital Purchases and Equipment	4,119	31,489	11,000	22,856	37,568
Operating Transfers	70,481	-	74,216	133,769	-
Total Expenditures	\$5,320,273	\$4,701,867	\$5,256,095	\$5,221,916	\$5,515,431
Expenditures By Funds					
General Revenue	4,344,370	4,102,488	4,338,521	4,397,882	4,418,290
Federal Funds	55,161	40,411	139,898	109,768	1,097,141
Restricted Receipts	920,742	558,968	777,676	714,266	-
Total Expenditures	\$5,320,273	\$4,701,867	\$5,256,095	\$5,221,916	\$5,515,431
FTE Authorization	44.0	45.0	45.0	45.0	45.0
Agency Measures					
Minorities as a Percentage of the Workforce	n/a	n/a	n/a	8.4%	8.4%
Females as a Percentage of the Workforce	n/a	n/a	n/a	41.7 %	41.7 %
Persons with Disabilities as a Percentage of the Workforce	n/a	n/a	n/a	0.0%	0.0%

The Agency

Executive Department



Personnel

Executive Department Agency Summary

	FY 2012		FY 2013	
	FTE	Cost	FTE	Cost
Distribution by Category				
Classified	3.0	335,220	1.0	88,903
Unclassified	42.0	2,975,798	44.0	3,209,735
Interdepartmental Transfer	-	(44,031)	-	-
Turnover	-	(327,510)	-	(100,001)
Total Salaries	45.0	\$2,939,477	45.0	\$3,198,637
Benefits				
Defined Contribution Plan	-	-	-	31,731
FICA	-	197,759	-	197,844
Medical	-	364,843	-	459,682
Payroll Accrual	-	-	-	18,168
Retiree Health	-	207,111	-	217,672
Retirement	-	683,883	-	672,028
Total Salaries and Benefits	45.0	\$4,393,073	45.0	\$4,795,762
Cost Per FTE Position		\$97,624		\$106,572
Statewide Benefit Assessment	-	113,217	-	118,990
Temporary and Seasonal	-	-	-	-
Payroll Costs	45.0	\$4,506,290	45.0	\$4,914,752
Purchased Services				
Information Technology	-	20,000	-	-
Total Personnel	45.0	\$4,526,290	45.0	\$4,914,752
Distribution by Source of Funds				
General Revenue	37.0	3,868,325	39.0	3,888,921
Federal Funds	1.0	90,458	6.0	1,025,831
Restricted Receipts	7.0	567,507	-	-
Total All Funds	45.0	\$4,526,290	45.0	\$4,914,752

The Budget

Executive Department Central Management

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Governor's Office	4,344,370	4,102,488	4,338,521	4,397,882	4,418,290
Total Expenditures	\$4,344,370	\$4,102,488	\$4,338,521	\$4,397,882	\$4,418,290
Expenditures By Object					
Personnel	4,042,121	3,800,929	3,793,979	3,868,325	3,888,921
Operating Supplies and Expenses	270,130	271,714	283,542	259,301	259,301
Assistance and Grants	28,000	1,370	250,000	250,000	250,000
Subtotal: Operating Expenditures	4,340,251	4,074,013	4,327,521	4,377,626	4,398,222
Capital Purchases and Equipment	4,119	28,475	11,000	20,256	20,068
Total Expenditures	\$4,344,370	\$4,102,488	\$4,338,521	\$4,397,882	\$4,418,290
Expenditures By Funds					
General Revenue	4,344,370	4,102,488	4,338,521	4,397,882	4,418,290
Total Expenditures	\$4,344,370	\$4,102,488	\$4,338,521	\$4,397,882	\$4,418,290

Personnel

Executive Department Central Management

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Classified					
Executive Assistant	135	1.0	88,903	1.0	88,903
Subtotal		1.0	\$88,903	1.0	\$88,903
Unclassified					
Chief of Staff	8355	1.0	182,619	1.0	182,619
Deputy Chief of Staff	8353	1.0	160,628	1.0	160,628
Senior Advisor to the Governor	8353	1.0	160,628	1.0	160,628
Executive Counsel	8351	1.0	150,016	1.0	150,016
Governor	527F	1.0	129,210	1.0	129,210
Director of Policy and Legislative Affairs	8341	1.0	115,138	1.0	115,138
Assistant to the Governor for Spec.Proj.	8341	1.0	101,653	1.0	101,653
Director of Scheduling	8340	1.0	92,669	1.0	92,669
Director of Communications	8340	1.0	88,177	1.0	88,177
Principal Projects Manager	8331	1.0	83,671	1.0	83,671
Legal Counsel	8335	1.0	78,087	1.0	78,087
Legal Counsel	8335	1.0	78,087	1.0	78,087
Deputy Dir. Policy & Legislative Affairs	8336	1.0	75,153	1.0	75,153
Administrative Secretary	8325	1.0	64,705	1.0	64,705
Deputy Press Secretary	8330	1.0	60,475	1.0	60,475
Advance Manager	8324	1.0	49,685	1.0	49,685
Assistant to the Governor -Special Proj.	8324	1.0	49,685	1.0	49,685
Constituent Services Associate II	8324	1.0	49,685	1.0	49,685
Director of Constituent Affairs	8324	1.0	49,685	1.0	49,685
Policy & Legislative Analyst	8324	2.0	99,370	2.0	99,370
Policy & Legislative Analyst	8324	1.0	49,685	1.0	49,685
Receptionist/Greeter	8324	1.0	49,685	1.0	49,685
Staff Assistant	8316	0.5	23,930	0.5	23,930
Staff Assistant	8316	0.5	22,374	0.5	22,374
Constituent Services Associate	8301	2.0	86,574	4.0	115,432
Policy & Legislative Analyst	8320	4.0	162,936	4.0	162,936
Policy Researcher	8316	1.0	38,410	1.0	38,410
Communications Associate	8316	1.0	37,618	1.0	37,618
Constituent Services Associate	8301	1.0	37,389	1.0	37,389
Administrative Assistant	8301	1.0	29,555	1.0	29,555
Communications Associate	8316	1.0	29,555	1.0	29,555
Constituent Services Associate	8301	1.0	29,255	1.0	29,255
Subtotal		36.0	\$2,515,992	38.0	\$2,544,850
Turnover		-	(75,416)	-	(100,001)
Subtotal		-	(\$75,416)	-	(\$100,001)
Total Salaries		37.0	\$2,529,479	39.0	\$2,533,752

Personnel

Executive Department Central Management

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Benefits					
Defined Contribution Plan		-	-	-	25,082
FICA		-	163,314	-	160,123
Medical		-	325,881	-	357,946
Payroll Accrual		-	-	-	14,695
Retiree Health		-	173,522	-	172,061
Retirement		-	581,274	-	531,205
Subtotal		-	\$1,243,991	-	\$1,261,112
Total Salaries and Benefits		37.0	\$3,773,470	39.0	\$3,794,864
Cost Per FTE Position			\$101,986		\$97,304
Statewide Benefit Assessment		-	94,855	-	94,057
Subtotal		-	\$94,855	-	\$94,057
Payroll Costs		37.0	\$3,868,325	39.0	\$3,888,921
Total Personnel		37.0	\$3,868,325	39.0	\$3,888,921
Distribution By Source Of Funds					
General Revenue		37.0	3,868,325	39.0	3,888,921
Total All Funds		37.0	\$3,868,325	39.0	\$3,888,921

The Budget

Executive Department Office of Economic Recovery and Reinvestment

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Economic Recovery and Reinvest	975,903	599,379	917,574	714,266	-
Total Expenditures	\$975,903	\$599,379	\$917,574	\$714,266	-
Expenditures By Object					
Personnel	877,724	592,276	831,378	567,507	-
Operating Supplies and Expenses	27,698	4,089	11,980	12,990	-
Subtotal: Operating Expenditures	905,422	596,365	843,358	580,497	-
Capital Purchases and Equipment	-	3,014	-	-	-
Operating Transfers	70,481	-	74,216	133,769	-
Total Expenditures	\$975,903	\$599,379	\$917,574	\$714,266	-
Expenditures By Funds					
Federal Funds	55,161	40,411	139,898	-	-
Restricted Receipts	920,742	558,968	777,676	714,266	-
Total Expenditures	\$975,903	\$599,379	\$917,574	\$714,266	-

Personnel

Executive Department

Office of Economic Recovery and Reinvestment

	Grade	FY 2012		FY 2013		
		FTE	Cost	FTE	Cost	
Classified						
Admin and Legal Sup Svcs Admin	0143 A	1.0	124,564	-	-	(2)
Associate Director (BHDDH)	0142 A	1.0	121,753	-	-	
Subtotal		2.0	\$246,317	-	-	
Unclassified						
Exec Asst/Communications/Public Info	8333	1.0	88,061	-	-	
Principal Projects Manager	8331	1.0	71,209	-	-	
Fiscal Management Supervisor	8329	1.0	62,348	-	-	
Program Analyst	8321	2.0	88,809	-	-	
Subtotal		5.0	\$310,427	-	-	
Interdepartmental Transfer		-	(44,031)	(1)	-	
Turnover		-	(160,168)	0	-	
Subtotal		-	(\$204,199)	-	-	
Total Salaries		7.0	\$352,545	-	-	
Benefits						
FICA		-	30,370	-	-	
Medical		-	29,331	-	-	
Payroll Accrual		-	-	-	-	
Retiree Health		-	29,648	-	-	
Retirement		-	89,406	-	-	
Subtotal		-	\$178,755	-	-	
Total Salaries and Benefits		7.0	\$531,300	-	-	
Cost Per FTE Position			\$75,900			
Statewide Benefit Assessment		-	16,207	-	-	
Temporary and Seasonal		-	-	-	-	
Subtotal		-	\$16,207	-	-	
Payroll Costs		7.0	\$547,507	-	-	
Purchased Services						
Information Technology		-	20,000	-	-	
Subtotal		-	\$20,000	-	-	
Total Personnel		7.0	\$567,507	-	-	

Personnel

Executive Department

Office of Economic Recovery and Reinvestment

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Distribution By Source Of Funds					
Restricted Receipts		7.0	567,507	-	-
Total All Funds		7.0	\$567,507	-	-

1 Offset of 1/2 salary for employee assigned to Division of Motor Vehicles.

2 OERR program to diminish due to end of stimulus funding in FY 2013. Responsibilities for outstanding grants to transfer to the Office of Management and Budget in the Department of Administration.

The Budget

Executive Department Rhode Island Health Benefits Exchange

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Health Benefits Exchange	-	-	-	109,768	1,097,141
Total Expenditures	-	-	-	\$109,768	\$1,097,141
Expenditures By Object					
Personnel	-	-	-	90,458	1,025,831
Operating Supplies and Expenses	-	-	-	16,710	53,810
Subtotal: Operating Expenditures	-	-	-	107,168	1,079,641
Capital Purchases and Equipment	-	-	-	2,600	17,500
Total Expenditures	-	-	-	\$109,768	\$1,097,141
Expenditures By Funds					
Federal Funds	-	-	-	109,768	1,097,141
Total Expenditures	-	-	-	\$109,768	\$1,097,141

Personnel

Executive Department

Rhode Island Health Benefits Exchange

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Unclassified					
Exchange Director	8353A	1.0	149,379 ⁽¹⁾	1.0	154,956
Administrative Assistant	8324A	-	-	1.0	47,508
Deputy Director for Finance	8348A	-	-	1.0	127,035
Deputy Director for Information Technology	8348A	-	-	1.0	127,035
Deputy Director for Policy	8341A	-	-	1.0	95,462
Legal Counsel	8345A	-	-	1.0	112,889
Subtotal		1.0	\$149,379	6.0	\$664,885
Turnover		-	(91,926) ⁽¹⁾	-	-
Subtotal		-	(\$91,926)	-	-
Total Salaries		1.0	\$57,453	6.0	\$664,885
Benefits					
Defined Contribution Plan		-	-	-	6,649
FICA		-	4,075	-	37,721
Medical		-	9,631	-	101,736
Payroll Accrual		-	-	-	3,473
Retiree Health		-	3,941	-	45,611
Retirement		-	13,203	-	140,823
Subtotal		-	\$30,850	-	\$336,013
Total Salaries and Benefits		1.0	\$88,303	6.0	\$1,000,898
Cost Per FTE Position			\$88,303		\$166,816
Statewide Benefit Assessment		-	2,155	-	24,933
Subtotal		-	\$2,155	-	\$24,933
Payroll Costs		1.0	\$90,458	6.0	\$1,025,831
Total Personnel		1.0	\$90,458	6.0	\$1,025,831
Distribution By Source Of Funds					
Federal Funds		1.0	90,458	6.0	1,025,831
Total All Funds		1.0	\$90,458	6.0	\$1,025,831

¹ Executive Director expected to be hired in the third quarter of FY 2012, All other staff to start July 1, 2012.